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Louran  
PATENT

7-11-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 09/500,624

Filing Date: February 9, 2000

Applicant: Dean Amburn

Group Art Unit: 3628

Examiner: Harish T. Dass

Title: Method And Apparatus For Automated Trading of  
Equity Securities Using A Real Time Data Analysis

Attorney Docket: 2425-000001

Director of the United States Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

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GROUP 3600

APPLICANT'S INTERVIEW SUMMARY

Sir:

An in-person interview regarding the above-cited application took place on June 3, 2003. During the interview, Applicant and Examiners Harish T. Dass and Hyung S. Sough discussed the reference (U.S. Patent No. 6,408,282) cited in the Office Action mailed November 29, 2002. Further discussions centered around references cited in a supplemental Information Disclosure Statement, specifically including Chapter 14 of the CyBerTrader reference. Applicant pointed out limitations in currently pending claims 30-46 that Applicant believes distinguishes the references from the currently pending claims. The Examiners indicated that they did not specifically disagree or agree with

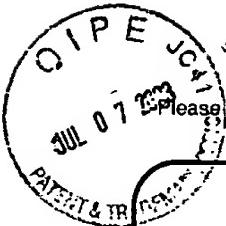
Applicant's position. The Examiners indicated that they would further consider these issues.

Respectfully submitted,

Dated: 7/2/03

By   
Dean W. Amburn  
Reg. No. 46,517

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3628  
HDP/SB/21 based on PTO/SB/21 (08-00)

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	09/500,624
Filing Date	February 9, 2000
First Named Inventor	Dean Amburn
Group Art Unit	3628
Examiner Name	Harish T. Dass

Attorney Docket Number

2425-000001

### ENCLOSURES (check all that apply)

Fee Transmittal Form

Fee Attached

Amendment / Response

After Final

Affidavits/declaration(s)

Extension of Time Request

Express Abandonment Request

Information Disclosure Statement

Certified Copy of Priority Document(s)

Response to Missing Parts/ Incomplete Application

Response to Missing Parts under 37 CFR 1.52 or 1.53

Assignment Papers  
(for an Application)

Drawing(s)

Licensing-related Papers

Petition

Petition to Convert to a Provisional Application

Power of Attorney, Revocation Change of Correspondence Address

Terminal Disclaimer

Request for Refund

CD, Number of CD(s) \_\_\_\_\_

After Allowance Communication to Group

Appeal Communication to Board of Appeals and Interferences

Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

Proprietary Information

Status Letter

Other Enclosure(s)  
(please identify below).

Return receipt postcard.

Remarks

The Commissioner is hereby authorized to charge any additional fees that may be required under 37 CFR 1.16 or 1.17 to Deposit Account No. 08-0750. A duplicate copy of this sheet is enclosed.

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### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Hamess, Dickey & Pierce, P.L.C.

Attorney Name  
Dean W. Amburn

Reg. No.  
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Signature

Date

7/2/03

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7/2/03